

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

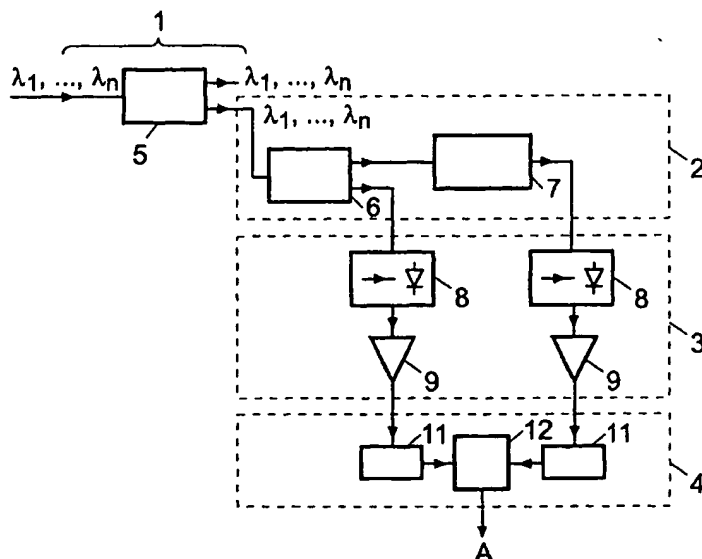
**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problem Mailbox.**



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁷ : H04B 10/08	A1	(11) International Publication Number: WO 00/25451 (43) International Publication Date: 4 May 2000 (04.05.00)
<p>(21) International Application Number: PCT/EP99/07401</p> <p>(22) International Filing Date: 24 September 1999 (24.09.99)</p> <p>(30) Priority Data: 98203581.8 23 October 1998 (23.10.98) EP</p> <p>(71) Applicant (for all designated States except US): KONINKLIJKE KPN N.V. [NL/NL]; Stationsplein 7, NL-9726 AE Groningen (NL).</p> <p>(72) Inventors; and (75) Inventors/Applicants (for US only): VAN DE BERGH, Marco, Pierre, Hendrik [NL/NL]; Heidestraat 40, NL-6114 AD Susteren (NL). DORREN, Harmen, Joseph, Sebastiaan [NL/NL]; Boothstraat 17, NL-3512 BV Utrecht (NL). VAN DER TOL, Johannes, Jacobus, Gerardus, Maria [NL/NL]; Gooisehof 142, NL-5709 LL Helmond (NL). RIJNDERS, Jan, Marcel [NL/NL]; Lage Morsweg 61, NL-2332 XB Leiden (NL).</p> <p>(74) Agent: KLEIN, Bart; Koninklijke KPN N.V., P.O. Box 95321, NL-2509 CH The Hague (NL).</p>	<p>(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).</p> <p>Published With international search report.</p>	

(54) Title: METHOD AND APPARATUS FOR MONITORING AN OPTICAL WDM NETWORK



(57) Abstract

A method and apparatus for monitoring an optical network being equipped with WDM equipment able to transmit a plurality of data channels at different wavelengths. From the said optical network a part of its optical signal power is coupled out and a specific statistical function of interest is determined which characterizes the said plurality of data channels. The parameters of said determined function of interest are processed in a predetermined mathematical model having a finite number of parameters and from said model information is derived on individual channels. Data representing the said information are produced.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

Title: METHOD AND APPARATUS FOR MONITORING AN OPTICAL WDM NETWORK

The present invention relates to a method and apparatus for monitoring an optical network being equipped with WDM equipment.

WDM means "Wavelength Division Multiplexing" and is
5 a technique known to those skilled in the art and will therefore not be described in detail.

Basic monitoring parameters in an optical network are:

- Total optical power
- 10 - Number of data channels
- Noise on every channel

These monitoring parameters are processed to give the following alarm signals:

- 15
- Loss of optical signal (LOS)
 - Signal per Channel Degradation
 - Loss of channel

20 Generally, optical networks are being equipped with WDM equipment to enhance the data capacity of the optical transmission lines. A crucial point in the management of an optical WDM network is optical monitoring of the network channels. A WDM optical network layer transmits a plurality
25 of data channels at different optical wavelengths over an optical fibre. To manage such a network layer the optical network layer is to be monitored at certain points in the network to obtain data on the quality of each channel. Failure or degradation of one of the elements in the
30 optical network could cause the loss or degradation of one or more data channels, respectively, at a node in the optical network layer. It is up to the monitoring system of

the network to report failure or degradation of channels. Existing monitor methods include pilot tones, optical bandpass filtering, photodiode arrays, waveguide gratings, and the use of optical wavelength spectrum analysers. The
5 disadvantage of these monitor solutions is the high cost of the used equipment to realize optical monitoring.

One of the cheapest ways to monitor an optical signal is its direct detection on a photodiode. One of the major disadvantages of direct detection is the limited
10 bandwidth of the photodiode which cannot detect optical frequencies, but only the modulated data of a data channel in the electrical domain. If an optical signal, containing multiple data channels at different optical wavelengths, is received on the photodiode, the modulated data of all data
15 channels is transposed to the electrical domain. In the frequency and time domain of the photodiode current, the data channels become therefore unidentifiable.

In view of the above disadvantages there is a strong need for a monitor system for optical networks which can
20 meet the following demands:

- Low cost
- Monitoring of multiple data channels
simultaneously
- 25 - Small amount of equipment necessary to realize the monitoring
- Local monitoring
- Independent on the location in the network
- Independent on the configuration of the network
- 30 - Independent on the number of data channels to be monitored.

The present invention now fulfils this need and the invention therefore provides a method for monitoring an
35 optical network being equipped with WDM equipment able to

transmit a plurality of data channels at different wavelengths, said method comprising the steps of:

- a) coupling out from the said optical network a part of its optical power and determining therefrom at least one specific statistical function of interest which characterizes the said plurality of data channels;
- b) processing the said determined function of interest in a predetermined mathematical model;
- c) deriving from said model information on individual data channels; and
- d) producing data representing the result of step c).

The invention further provides an apparatus for monitoring an optical network being equipped with WDM equipment able to transmit a plurality of data channels at different wavelengths, said apparatus comprising:

- means for coupling out from the said optical network a part of its optical power;
- means for determining therefrom at least one specific statistical function of interest which characterizes the said plurality of data channels;
- means for processing the said determined function of interest in a predetermined mathematical model;
- means for deriving from said model information on individual data channels; and
- means for producing data representing the said information.

It is remarked that DE-A-195 04 896 discloses a method and apparatus for monitoring an optical network, wherein one data channel is monitored at the same time.

However, the specific statistical analysis of an optical signal comprising a plurality of data channels and the simultaneous monitoring of a plurality of data channels according to the present invention has not been disclosed and cannot be derived therefrom.

The present invention has been based upon the fact that all data channels are statistically independent on each other. Therefore, the data channels should be separable by using a statistical analysis. The common
5 parameters used in the said statistical analysis are the average power levels at the "0" and "1" bits and the noise on these levels for each data channel. So carrying out a statistical analysis of the optical signal containing multiple data channels should provide the noise and average
10 power levels of each data channel and the number of channels present.

The invention will now be described by way of example in more detail by reference to the accompanying drawings, in which:

15 Fig. 1 represents a block scheme of the principle underlying the method according to the present invention;

Fig. 2 represents schematically a first advantageous embodiment of the present invention;

20 Fig. 3 represents schematically a second advantageous embodiment of the present invention;

Fig. 4 represents a probability density function (PDF) of a single data channel, as applied by the present invention;

25 Fig. 5 represents a measured probability density function of two channels as applied by the present invention;

Fig. 6 represents a measured probability density function of three channels, as applied by the present invention;

30 Fig. 7 represents a priori solution ranges of the means in the measured multiple channel;

Fig. 8 represents a priori ranges of the means in the measured multiple channel of an opto-electronic converted optical signal with all data channels attenuated
35 differently; and

Fig. 9 represents schematically an estimation process for the parameter characterizing data channels, as applied by the present invention.

Referring now to fig. 1, a transmission line 1 of an optical network carrying data channels of different wavelengths $\lambda_1 \dots \lambda_n$ has been shown. Further an optical signal processing block 2, an optical-electrical (O/E) converter (block 3) and an electrical signal processing block 4 are represented.

The output of block 4 is made available to the management system of the optical network in any way suitable for the purpose (not shown for reasons of clarity). At a certain point in the optical network (block 5) a part of its optical power is coupled out from the said optical network in any way suitable for the purpose and an optical signal is derived therefrom for further processing. The said coupling out advantageously takes place via a power splitter means.

It will be appreciated by those skilled in the art that it is not required to transmit an optical signal containing multiple data channels at different optical wavelengths $\lambda_1 \dots \lambda_n$ through the optical network. Use can be made, for example, of noise present in the optical network as optical power.

Further, for opto-electronical detection of the optical signal, an O/E converter (block 3) is applied.

O/E converters are commercially available and will therefore not be described in detail. However, it will be appreciated that the quality of the O/E converter determines inter alia the maximum number of data channels to be monitored. So the requirements for the O/E converter increase with increasing number of data channels to monitor.

Figs. 2 and 3 disclose advantageous embodiments of fig. 1. The same reference numerals as in fig. 1 are used.

The power splitter means (block 5) is for example a 1 to 2-splitter (90/10). The signal which is coupled out is then split again, e.g. in a 1 to 2-splitter 6 (50/50) providing two sets of measurement data, said sets
5 representing a first optical signal and a second optical signal respectively. Such splitters are commercially available and will therefore not be described in detail. In this advantageous embodiment the said second optical signal is obtained by passing the optical signal through a
10 λ -dependent attenuator 7. Advantageously, the λ -dependent attenuator comprises two branches, the optical pathlength of the first branch being different from the optical pathlength of the second branch, and said branches having a common signal input and a common signal output. An
15 advantageous example of such a λ -dependent attenuator is a Mach-Zehnder interferometer known as such to those skilled in the art.

In fig. 2 the output signals of block 2 are passed to the O/E converter (block 3), comprising a photodiode 8
20 and optionally an amplifier 9 for each output from block 2.

In the embodiment of fig. 3 the output signals from blocks 6 and 7 are passed to an optical switch 10 (commercially available, and will therefore not be
25 described in detail) prior to passing to block 3.

The output of block 3 is passed to the electrical signal processing block 4. The analogue measured O/E-converted data will have to be A/D converted first in any A/D converter 11 suitable for the purpose. The
30 resolution of the A/D converter determines the accuracy of a measured probability density function of a data channel (will be explained below), and therefore inter alia the accuracy of estimated so-called Gaussian channel parameters (will be explained below), and also the maximum number of
35 data channels to be monitored. These A/D converters are

commercially available and will therefore not be described in detail.

Subsequently, the number of times a signal power level occurs, is counted during a predetermined measurement interval. In this manner a histogram, which shows the frequency of a power level as function of the power level is obtained. The histogram is then normalised so that the surface beneath the curve equals 1, and then shows the probability density of the discrete power levels and has therefore become a probability density function. This is done for both sets of digital measurement data. So-called Gaussian channel parameters characterizing a data channel are extracted from these probability density functions. These Gaussian channel parameters are then evaluated to produce monitor information. The software to convert the discrete data into a probability density function, to estimate the Gaussian channel parameters, and to extract the monitor information can be programmed in any commercially available digital signal processing chip suitable for the purpose (block 12).

Now referring to figs. 4-8 data channel statistics and the extraction of the characterizing channel parameters will be explained.

Fig. 4 represents a probability density function of a single data channel. The vertical axis represents probability density, whereas the horizontal axis represents optical power in Watt (W).

The solid line represents the estimated Gaussian probability density function.

Fig. 5 represents a measured probability density function of two channels. The horizontal axis represents optical power in Watt (W), whereas the vertical axis represents the probability density.

The solid line represents its approximated Gaussian model.

According to the Gaussian model of a two channel PDF, the measured probability density function should contain four Gaussian peaks, however, in Fig. 5 only three peaks are visible.

5 Fig. 6 represents a measured probability density function of three channels. The axes and the solid line represent data similar to those in fig. 5

Data channel statistics will now be explained in more detail.

10 Each channel is characterized by a plurality of signal levels representing data. In particular, each channel is characterized by a plurality of levels of "1" and "0".

Another important tool in the statistical analysis
15 is the probability density function (PDF) already mentioned in the foregoing. It will be appreciated by those skilled in the art, that the PDF of an optical signal can be written as a sum of Gaussian functions. A Gaussian function has two variables; the variance and the mean.

20 The PDF of a single data channel can be written as a sum of two Gaussian functions, one describing the '0' level of the data channel and the other the '1' level of the data channel. Assuming the probability of a '0' and '1' bit is the $\frac{1}{2}$, the PDF a data channel can be described by four
25 Gaussian parameters; the variance and mean of the '0' level, and the variance and mean of the '1' level. The mean and variance of each approximate Gaussian peak in the single data channel PDF corresponds to the average optical power and noise of a bit level of the data modulated on the
30 data channel, respectively. It will be appreciated by those skilled in the art that more than two signal levels can be applied.

The PDF of multiple data channels can be reconstructed from optimisation of the Gaussian parameters
35 of individual data channels.

The values for the means and variances in the measured PDF containing multiple data channels are a linear combination of the values for the means and variances of the Gaussian channel parameters. The use of a priori
5 information about the Gaussian channel parameters will provide the necessary number of equations out of the measured PDF's to solve the parameters for the on-line Gaussian channel parameters of a plurality of data channels.

10 Figs. 7 and 8 will be discussed afterwards in more detail by reference to the analysis of the multiple channel PDF.

Fig. 9 represents by way of non-limiting example a PDF processing diagram which can be applied in an
15 advantageous manner by the present invention. The Gaussian channel parameters of the measured PDF's are extracted, using any suitable optimisation algorithm. Algorithms for the optimisation of parameters are known as such to those skilled in the art and will therefore not be described in
20 detail. Examples of such algorithms are genetic algorithms, neural networks, and the like.

To obtain the initial optimisation of each data channel, the optical WDM network is configured in such a way that only the desired data channel is transmitted over
25 the network (INIT). This is carried out at any frequency suitable for the purpose, e.g. once a day. The PDF of this channel is measured, then the Gaussian channel parameter and the attenuation of the λ -dependent attenuator of this data channel are estimated. The estimated Gaussian channel
30 parameters and attenuation of this channel are stored in a memory (MEM1). This process is repeated for all data channels. These Gaussian channel parameters and channel attenuations are used as a priori information for the optimisation algorithm

35 During a predetermined time period, e.g. one day, the on-line PDF's containing multiple data channels are

measured. The measured on-line PDF's (PDF1 and PDF2) are advantageously processed by the algorithm. The algorithm generates randomly Gaussian channel parameters of the data channels, and these Gaussian channel parameters are used to
5 reconstruct the PDF containing all data channels. The algorithm uses the correlation between estimated PDF and measured PDF to select the best estimates of the Gaussian channel parameters, these best estimates will be used to make new/better estimates of the Gaussian channel
10 parameters of each data channel and this process will be repeated several times until a fairly good estimate of the Gaussian channel parameters of each data channel is found (block 13).

This best estimate of this Gaussian channel
15 parameter will be stored in a memory (MEM2). This Gaussian channel parameter is then compared in block 14 to a previously stored reference Gaussian channel parameter (MEM4). This comparison gives information about changes in the on-line Gaussian channel parameters. Changes in the
20 Gaussian channel parameter may be reported in any way suitable for the purpose to the optical network management system for monitoring purposes (management purposes or network management purposes). In case of a large misfit the monitoring system is re-initialized via line B, whereas in
25 case of a small misfit the Gaussian channel parameters are adjusted via line C. The measured Gaussian channel parameter will be stored (MEM3) and used as a priori information in the next measurement. If the value for the correlation of the best Gaussian channel parameter does not
30 approach a predetermined value, it means that the PDF model of the algorithm was not able to realize a satisfying fit, and this can be interpreted as a major deviation in the Gaussian channel parameter and in that case an alarm signal can be provided.

35 It will be appreciated by those skilled in the art that in this manner Gaussian channel parameters can be

extracted for an optical signal containing multiple data channels.

For a better understanding of the invention an analysis of the multiple channel PDF will now be disclosed in more detail below and a general theoretical model for PDF's containing multiple data channels is derived.

Analysis of the multiple channel PDF

To extract the monitor information about the individual data channels in an optical signal from its measured PDF, it is necessary to find a theoretical model for a multiple channel PDF which relates monitor information of the individual data channels to the shape of the multiple channel PDF. The multiple channel PDF is related to the PDF of the individual data channels in the following way:

$$PDF_{1,...,N}(x) = \int_{-\infty}^{\infty} PDF_{1,...,N-1}(x-y) PDF_N(y) dy \quad (1)$$

wherein $PDF_{1,...,N}$ represents the PDF of the plurality of N channels, and wherein N is a positive integer and PDF_N represents the PDF of channel N.

For example, in the case of a two data channel PDF, Eq. (1) reduces to

$$PDF_{1,2}(x) = \int_{-\infty}^{\infty} PDF_1(x-y) PDF_2(y) dy \quad (2)$$

In the following lines, a theoretical model for the PDF of an optical signal containing multiple data channels is presented. This model is based on the Gaussian approximation of a single data channel PDF.

Assuming that noise on the amplitude level of the data modulated on the data channels can be described by white noise, the PDF of a single data channel can be described by two Gaussian functions $\phi(x, \mu_0, \sigma_0^2)$ and $\phi(x, \mu_1, \sigma_1^2)$:

5

$$PDF_i(x) = \frac{1}{2} \left[\phi(x, \mu_{0i}, \sigma_{0i}^2) + \phi(x, \mu_{1i}, \sigma_{1i}^2) \right] \quad (3)$$

wherein $i = 1, \dots, N$ representing the channel number and where the means μ_0 and μ_1 correspond to the average optical power of the "0" and "1" bitlevel of the data modulated on the data channel and the variances σ_{0i} and σ_{1i} correspond to the noise on the "0" and "1" bitlevel, respectively, of the channel i . In Fig. 4, Eq. (3) is fitted to a measured PDF of a single data channel.

15 The theoretical model of two data channels 1 and 2 can be described by

$$PDF_{1,2}(x) = \frac{1}{4} \left[\phi(x, \mu_{01} + \mu_{02}, \sigma_{01}^2 + \sigma_{02}^2) + \phi(x, \mu_{11} + \mu_{12}, \sigma_{11}^2 + \sigma_{12}^2) + \phi(x, \mu_{01} + \mu_{12}, \sigma_{01}^2 + \sigma_{12}^2) + \phi(x, \mu_{11} + \mu_{02}, \sigma_{11}^2 + \sigma_{02}^2) \right] \quad (4)$$

20 and the general theoretical model of the PDF for N data channels is given by

$$PDF_{1-N}(x) = \frac{1}{2^N} \left[\phi(x, \mu_{01} + \dots + \mu_{0N}, \sigma_{01}^2 + \dots + \sigma_{0N}^2) + \dots + \phi(x, \mu_{11} + \dots + \mu_{1N}, \sigma_{11}^2 + \dots + \sigma_{1N}^2) \right] \quad (5)$$

25 The following conclusions can be drawn for the theoretical model of a multiple channel PDF:

- A single data channel PDF can be approximated by two Gaussians functions.
- 30 • The peaks in the measured multiple data channel PDF can also be approximated by Gaussian peaks. Their variances and means are linear combinations of the variances and

means of the Gaussian channel parameters of the individual data channels.

- A multiple data channel PDF can be constructed out of the single channel PDFs of the individual data channels.

5

Realising that a single channel PDF can be described by a finite number of parameters, it follows that the multiple channel PDF can also be represented by a finite number of parameters. These parameters describe the signal quality of the individual data channels and are estimated using optimisation techniques, such as, as already disclosed in the foregoing, genetic algorithms, neural networks, Monte-Carlo methods, and the like.

10

The role of the λ dependent attenuator

15

Therefore being able to reconstruct the multiple data channel PDF by using the single channel PDFs of the individual data channels, it should also be possible to extract the Gaussian channel parameters of the individual data channels from the multiple channel PDF. This estimation process is referred to in mathematical literature as the inverse problem.

20

In the following lines, the inverse problem to extract the monitor information for the individual data channels from a measured multiple channel is discussed by way of example only and illustrated with a two channel PDF example.

25

Firstly the theoretical model of the PDF is fitted to a measured two channel PDF. As is derived above, the peaks in the measured multiple channel PDF can also be approximated by Gaussian peaks with a mean and variance. In Fig. 5 a measured two channel PDF is presented. The means and variances belonging to the peaks in Fig. 5 are presented in Table 1:

30

Table 1: Values for the mean and variance of the Gaussian peaks in Fig. 5

Gaussian peak L	I	II	III	IV
μ_L (μW)	106	?	?	332
σ_L^2 (pW^2)	48	?	?	60

5 According to Eq. (4), the values for the mean and variance of the peaks in the multiple channel PDF are a linear combination of the values for the mean of the "0" and "1" bitlevel and variances of the individual data channel means values to a measured mean of a Gaussian peak
 10 in the multiple channel PDF. To solve this problem, a priori information on the mean values of the individual data channel can be used to define the position and the solution range for the mean of a certain Gaussian peak in the multiple channel PDF (see Fig. 7) using its
 15 corresponding equation for the means of the individual data channel. In the case of Fig. 7 the method provides two equations for the means and two equations for the variances, with eight Gaussian channel parameters to solve.

$$\begin{aligned}
 \mu_I &= \mu_{01} + \mu_{02} = 106 \mu W & \text{and} & & \sigma_I^2 &= \sigma_{01}^2 + \sigma_{02}^2 = 48 pW^2 \\
 \mu_{IV} &= \mu_{11} + \mu_{12} = 332 \mu W & & & \sigma_{IV}^2 &= \sigma_{11}^2 + \sigma_{12}^2 = 60 pW^2
 \end{aligned} \tag{6}$$

It can be observed from Fig. 7, that Eq.(6) cannot be solved uniquely since the amplitude levels of a first channel and a second channel can be interchanged. This
 25 problem can be overcome by generating extra independent equations, obtained from a measured PDF of the same optical signal with every data channel attenuated with a different attenuation factor (see Fig. 8). In Fig. 8 the second data channel was attenuated by a factor 2 with respect to the
 30 other channel. The means and variances belonging to the peaks in Fig. 8 are presented in Table 2:

Table 2: Values for the mean and variance of the Gaussian peaks in Fig. 8

Gaussian peak L	I	II	III	IV
μ_L (μW)	75	140	205	255
σ_L^2 (pW^2)	34.4	44.2	44.2	44.2

5 The equations corresponding to the Gaussian peaks in Fig. 8 are,

$$\begin{aligned}
 \mu_I &= k_1\mu_{01} + k_2\mu_{02} = 75\mu W & \text{and} & \quad \sigma_I^2 = k_1^2\sigma_{01}^2 + k_2^2\sigma_{02}^2 = 34.4pW^2 \\
 \mu_{II} &= k_1\mu_{01} + k_2\mu_{12} = 140\mu W & \quad \sigma_{II}^2 &= k_1^2\sigma_{01}^2 + k_2^2\sigma_{12}^2 = 44.2pW^2 \\
 \mu_{III} &= k_1\mu_{11} + k_2\mu_{02} = 205\mu W & \quad \sigma_{III}^2 &= k_1^2\sigma_{11}^2 + k_2^2\sigma_{02}^2 = 44.2pW^2 \quad (7) \\
 \mu_{IV} &= k_1\mu_{11} + k_2\mu_{12} = 255\mu W & \quad \sigma_{IV}^2 &= k_1^2\sigma_{11}^2 + k_2^2\sigma_{12}^2 = 44.2pW^2
 \end{aligned}$$

10 where k_1 and k_2 are the attenuation factors of data channel 1 and 2, respectively.

Analysing the set of equations in Eq. (7), it is found that only six of the eight equations are independent. Therefore, adding both sets of equations in Eq. (6) and (7) results into a set of equations, which exists out of ten independent equations to solve the eight Gaussian channel parameters. The least squared error solution for the extracted Gaussian channel parameters of the individual data channel in the illustrated example is presented in

20 Table 3:

Table 3: Extracted Gaussian channel parameters of the individual data channels in the example.

Data channel i	σ_{oi}^2 (pW ²)	μ_{oi} (μW)	σ_{li}^2 (pW ²)	μ_{li} (μW)
1	35	58	40	179
2	12	46	21	155

5 Extraction of the number of data channels

According to Eq. (5), the model of a PDF containing N data channels is a sum of 2^N Gaussian functions multiplied by the factor $1/2^N$. Therefore the surface
 10 underneath the first peak in the model of the PDF should equal to $1/2^N$. Thus calculating the surface beneath the first Gaussian peak in a measured PDF of an optical signal should provide information about the number of data channels present in that optical signal.

15 It will be appreciated that various modifications of the present invention will be apparent to those skilled in the art from the foregoing description. Such modifications are intended to fall within the scope of the appended claims.

CLAIMS

1. A method for monitoring an optical network being equipped with WDM equipment able to transmit a plurality of data channels at different wavelengths, said method comprising the steps of:
- 5 a) coupling out from the said optical network a part of its optical power and determining therefrom at least one specific statistical function of interest which characterizes the said plurality of data channels;
- b) processing the said determined function of interest in a predetermined mathematical model;
- 10 c) deriving information from said model on individual data channels; and
- d) producing data representing the result of step c).
- 15 2. The method as claimed in claim 1, wherein the said predetermined mathematic model comprises a finite number of parameters.
3. The method as claimed in claims 1 or 2, wherein the said specific statistical function is a probability density function.
- 20 4. The method as claimed in claim 3, wherein the probability density function of a single data channel is represented by a sum of two Gaussian functions, one describing the '0' level of the data channel and the other the '1' level of said data channel.
- 25 5. The method as claimed in any one of claims 1-4, wherein step b) is carried out with the use of an optimisation algorithm.
- 30

6. The method as claimed in any one of claims 1-5, wherein step a) comprises:

a1) coupling out from the said optical network the said part of the optical power at a certain point in the optical network and deriving therefrom an optical signal;

a2) processing this optical signal in such a manner that at least two sets of optical measurement data are obtained, said two sets representing a first optical processed signal and a second optical processed signal respectively;

a3) detecting opto-electronically the said two sets of optical measurement data; converting the data thus obtained into a digital form; subjecting the sets of digital measurement data to a statistical analysis and obtaining parameters which are characterizing each data channel.

7. The method as claimed in claim 6, wherein step a2) comprises the step of splitting the optical power obtained in step a1).

8. The method as claimed in claims 6 or 7, wherein the said second optical signal obtained in step a2) is further processed by λ -dependent attenuation.

9. The method as claimed in any one of claims 1-8, wherein the data produced in step d) is used for management purposes or network management purposes.

10. An apparatus for monitoring an optical network being equipped with WDM equipment able to transmit a plurality of data channels at different wavelengths, said apparatus comprising:

means for coupling out from the said optical network a part of its optical power;

means for determining therefrom at least one specific statistical function of interest which characterizes said plurality of data channels;

5 means for processing the said determined function of interest in a predetermined mathematical model;

means for deriving from said model information on individual data channels; and

means for producing data representing the said information.

10

11. The apparatus as claimed in claim 10, wherein said apparatus further comprises:

15 means for deriving an optical signal from said means for coupling out from the said optical network a part of its optical power;

20 means for processing this optical signal in such a manner that at least two sets of optical measurement data are obtained, said two sets representing a first optical processed signal and a second optical processed signal respectively;

means for detecting opto-electronically the said two sets of optical measurement data;

means for converting the data thus obtained into a digital form;

25 means for subjecting the sets of digital measurement data to a statistical analysis; and

means for obtaining parameters which are characterizing each data channel.

30 12. The apparatus as claimed in claim 11, wherein said means for processing the said optical signal comprises a λ -dependent attenuator for further processing the said second optical signal.

35 13. The apparatus as claimed in claim 12, wherein the λ -dependent attenuator comprises two branches, the optical

pathlength of the first branch being different from the optical pathlength of the second branch, said branches having a common signal input and a common signal output.

- 5 14. The apparatus as claimed in any one of claims 11-13, wherein the said means for processing the said optical signal comprises an optical switch, the output of which being connected to the input of the said means for
10 detecting opto-electronically the said two sets of optical measurement data.

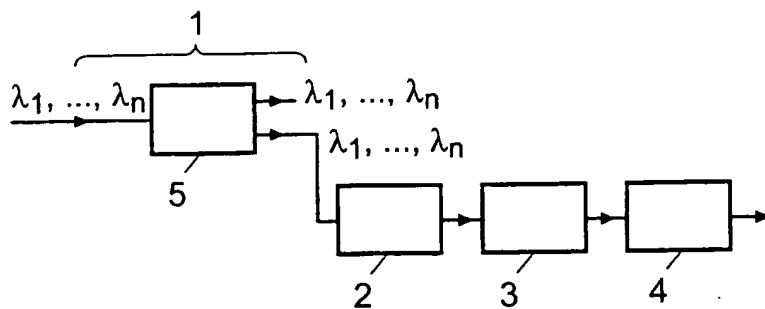


FIG. 1

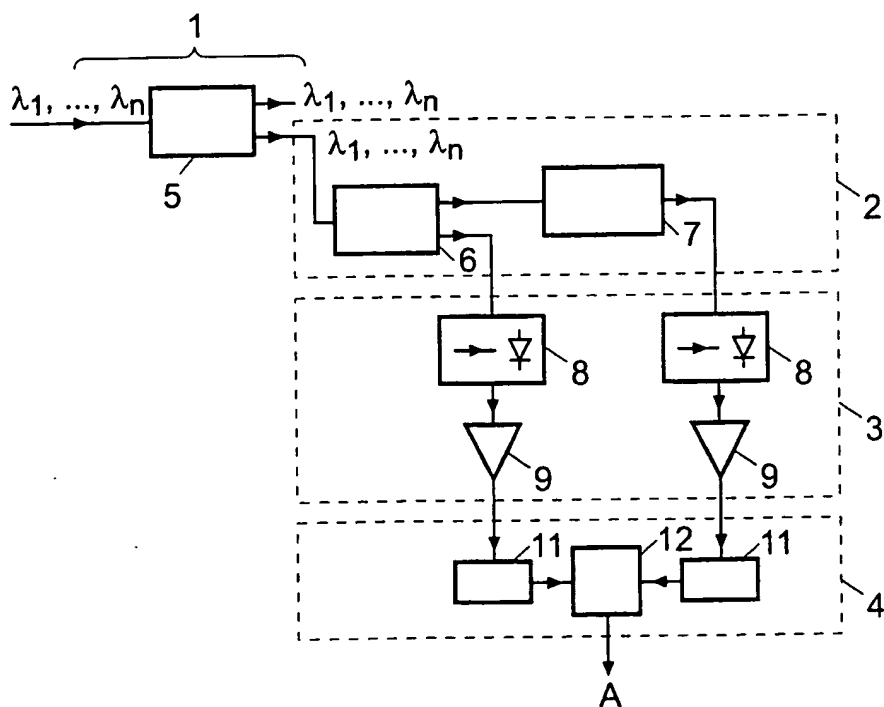


FIG. 2

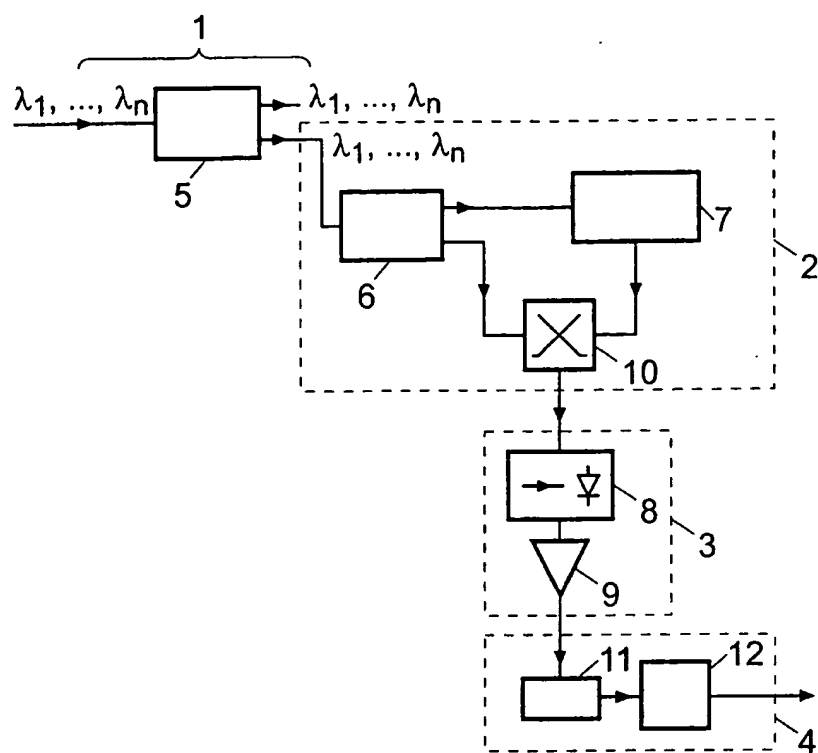


FIG. 3

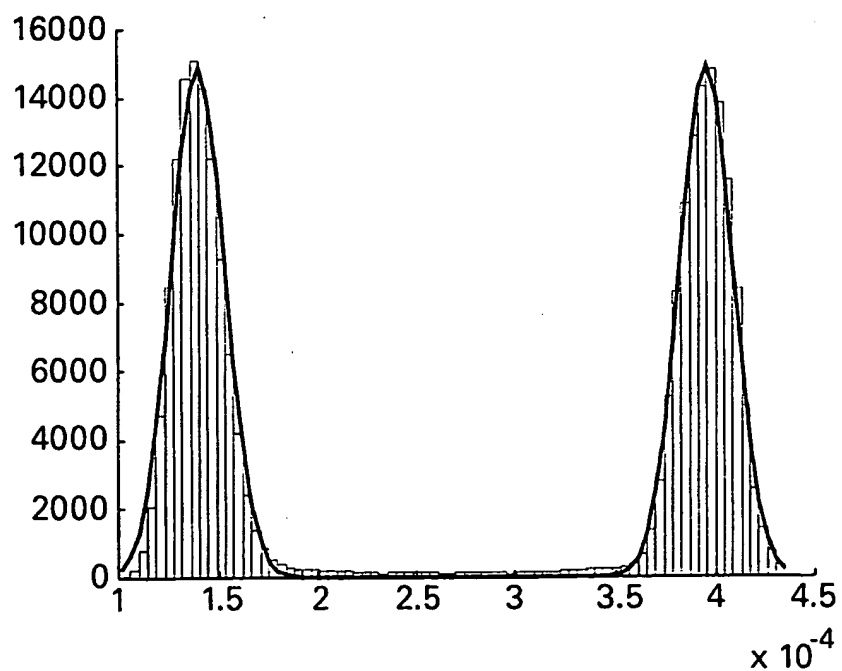


FIG. 4

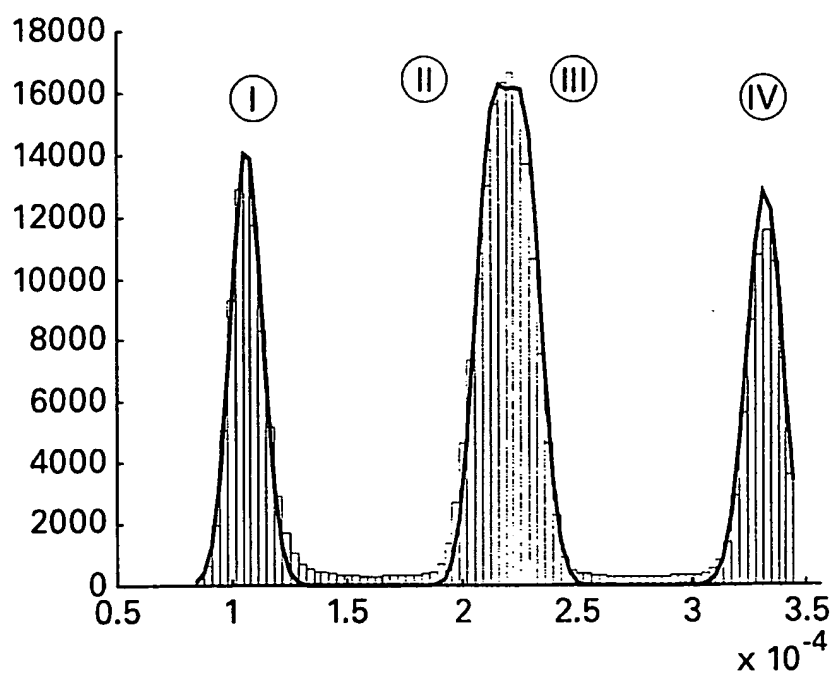


FIG. 5

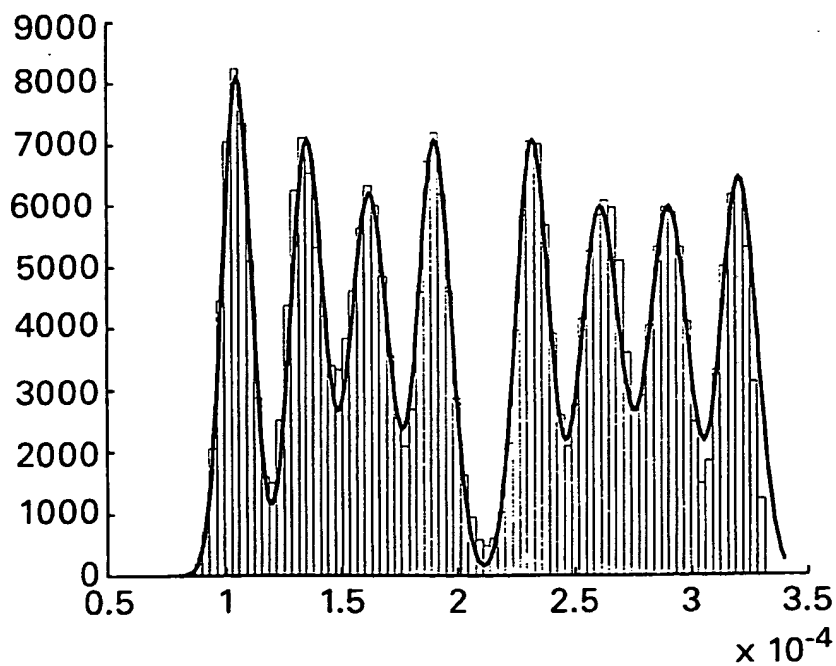


FIG. 6

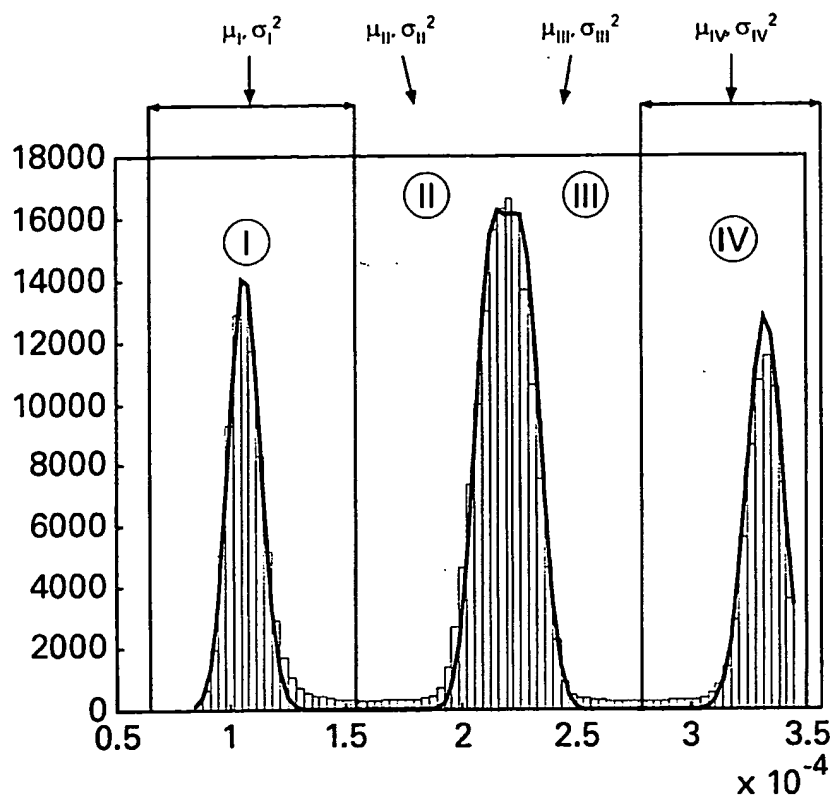


FIG. 7

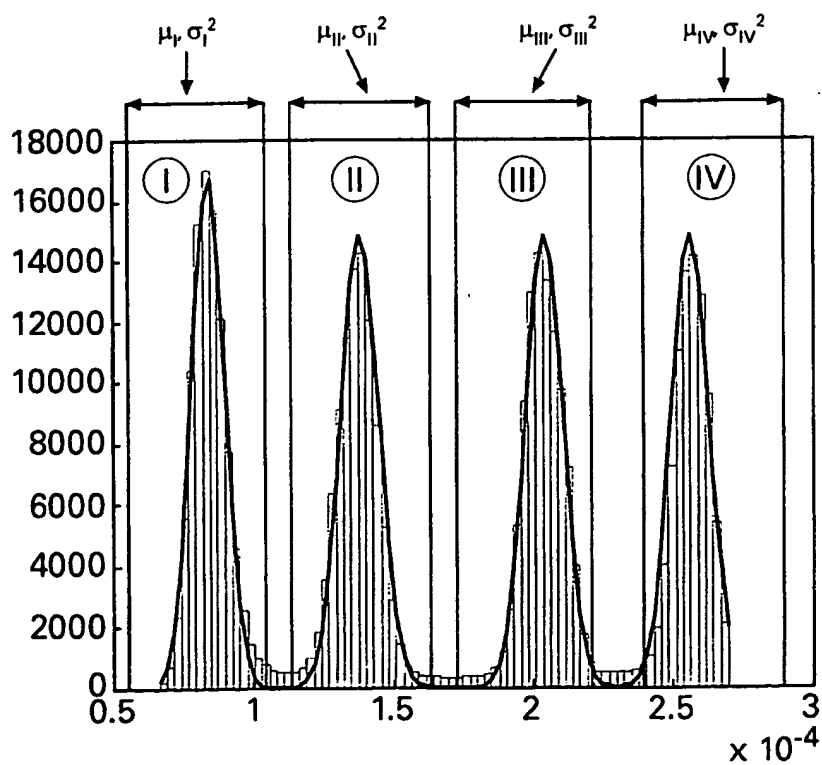


FIG. 8

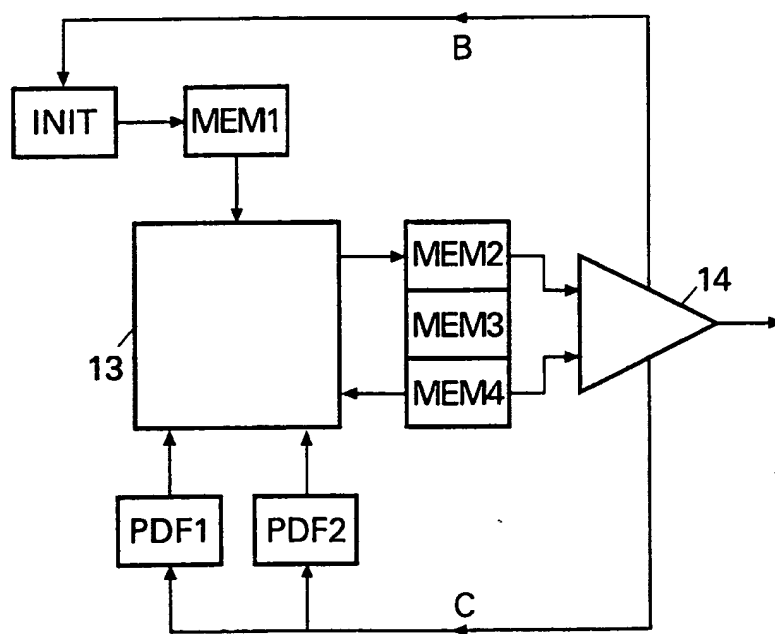


FIG. 9

INTERNATIONAL SEARCH REPORT

International Application No

PCT/EP 99/07401

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 H04B10/08

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 H04B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	FR 2 740 282 A (NIPPON ELECTRIC CO) 25 April 1997 (1997-04-25) page 5, line 25 - line 30 page 11, line 15 - line 25 claims 1,2,4; figure 3 ---	1,10
A	EP 0 828 356 A (LUCENT TECHNOLOGIES INC) 11 March 1998 (1998-03-11) abstract column 9, line 44 -column 10, line 14 column 11, line 22 - line 29 claims 1,9; figure 3 ---	1,10
A	EP 0 546 707 A (AMERICAN TELEPHONE & TELEGRAPH) 16 June 1993 (1993-06-16) page 13, line 19 - line 58 claims 28,30; figures 12,14 -----	1,10

☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

28 January 2000

Date of mailing of the international search report

04/02/2000

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

Cochet, B

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/EP 99/07401

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
FR 2740282 A	25-04-1997	JP 2748908 B	13-05-1998
		JP 9116504 A	02-05-1997
		US 5923453 A	13-07-1999

EP 0828356 A	11-03-1998	US 5793909 A	11-08-1998
		CA 2212759 A	09-03-1998
		JP 10107739 A	24-04-1998

EP 0546707 A	16-06-1993	US 5285305 A	08-02-1994
		US 5321541 A	14-06-1994
		DE 69222273 D	23-10-1997
		DE 69222273 T	15-01-1998
		EP 0786878 A	30-07-1997
		JP 2695586 B	24-12-1997
		JP 7303266 A	14-11-1995
		US RE36471 E	28-12-1994
